

### **BERGRIVIER MUNICIPALITY**

### PERFORMANCE AGREEMENT

MADE AND ENTERED INTO BY AND BETWEEN:

THE BERGRIVIER MUNICIPALITY

### HEREIN REPRESENTED BY THE MUNICIPAL MANAGER ADV HANLIE LINDE

ID 7004110082083

(herein and after referred to as Employer)

AND

HENDRIK KRÖHN, (ID 6309035054088)

**DIRECTOR TECHNICAL SERVICES** 

(herein and after referred to as Employee)

FOR THE FINANCIAL YEAR

Period 1 July 2014 – 30 June 2015



### THE PARTIES HEREBY AGREE AS FOLLOWS:

### 1. INTRODUCTION

- 1.1 The Employer has entered into a contract of employment with the Employee in terms of section 57(1)(a) of the Local Government: Municipal Systems Act 32 of 2000 ("the Systems Act"). The Employer and the Employee are hereinafter referred to as "the Parties".
- 1.2 Section 57(1) (b) of the Systems Act, read with the Contract of Employment concluded between the parties, requires the parties to conclude an Annual Performance Agreement.
- 1.3 The parties wish to ensure that they are clear about the goals to be achieved, and secure the commitment of the Employee to a set of outcomes that will secure Local Government policy goals.

### 2. PURPOSE OF THIS AGREEMENT

The purpose of this agreement is to:

- 2.1 Comply with the provisions of Section 57(1)(b),(4B) and (5) of the Systems Act, and the Municipal Performance Regulations for Municipal Managers and Managers directly accountable to Municipal Managers (2006) as amended by the Regulations on Appointment and Conditions of Employment of Senior Managers (2014), as well as the Contract of Employment entered into between the parties;
- 2.2 Specify objectives and targets defined and agreed with the Employee and to communicate to the Employee the Employer's expectations of the Employee's performance and accountabilities in alignment with the Integrated Development Plan, Service Delivery and Budget Implementation Plan (SDBIP) and the Budget of the Employer;
- 2.3 Specify accountabilities as set out in the Performance Plan which is appended to this agreement as **ANNEXURE A**;
- 2.4 Monitor and measure performance against set targeted outputs;



- 2.5 Use the Performance Agreement and Performance Plan as the basis to assess whether the Employee has met the performance expectations applicable to his job; and
- 2.6 Give effect to the Employer's commitment to a performance-orientated relationship with the Employee in attaining equitable and improved service delivery.

### 3. COMMENCEMENT AND DURATION

- 3.1 This Agreement will commence on 1 July 2014 and will remain in force until 30 June 2015 where after a new Performance Agreement shall be concluded between the parties for the next financial year.
- 3.2 The parties will review the provisions of this Agreement during June each year.

  The parties will conclude a new Performance Agreement that replaces this Agreement by not later than the 31<sup>st</sup> July of each successive financial year or any portion thereof.
- 3.3 This Agreement will terminate on the termination of the Employee's contract of employment for any reason.
- 3.4 The content of this Agreement may be revised at any time during the abovementioned period to determine the applicability of the matters agreed upon.

### 4. PERFORMANCE OBJECTIVES

- 4.1 The Performance Plan is attached as **ANNEXURE A**, and sets out:
  - 4.1.1 The performance objectives and targets that must be met by the Employee; and
  - 4.1.2 The time frames within which those performance objectives and targets must be met.
- 4.2 The performance objectives reflected in **ANNEXURE A** are set by the Employer in consultation with the Employee and based on the Integrated Development Plan, Service Delivery and Budget Implementation Plan (SDBIP) and the Budget of the Employer, and shall include key performance indicators, units of measure, details of evidence that must be provided to show that the indicator has been



- achieved, target dates and weightings which show the relative importance of key performance indicators to one another.
- 4.3 The Employee's performance will, in addition, be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.

### 5. PERFORMANCE MANAGEMENT SYSTEM

- 5.1 The Employee agrees to participate in the performance management system that the Employer adopts or introduces for the Employer, management and municipal staff of the Employer.
- 5.2 The Employee accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the Employer, management and municipal staff to perform to the standards required.
- 5.3 The Employer will consult the Employee about the specific performance standards that will be included in the performance management system as applicable to the Employee.
- The Employee undertakes to actively focus on the promotion and implementation of the KPA's (including special projects relevant to the Employee's responsibilities) within the local government framework.
- 5.5 The criteria upon which the performance of the Employee shall be assessed shall consist of two components, both of which are contained in this Performance Agreement.
  - 5.5.1 The Employee must be assessed against both components, with a weighting of 80:20 allocated to the Key Performance Areas (KPA's) and Competencies respectively
  - 5.5.2 Each area of assessment will be weighted and will contribute a specific part to the total score.
  - 5.5.3 KPA's covering the main areas of work will account for 80% and Competencies will account for 20% of the final assessment.
- 5.6 The Employee's assessment will be based on his performance in terms of the outputs/outcomes (performance indicators) identified as per attached

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Performance Plan (ANNEXURE A), which are linked to the KPA's, and will constitute 80% of the overall assessment result as per the weightings agreed to between the Employer and Employee. The competencies will make up the other 20% of the Employee's assessment score.

Key Performance Area	No	%
Basic Service Delivery	9	28%
Municipal Transformation and Organisational Development	6	18%
Local Economic Development	3	9%
Municipal Financial Viability and Management	5	15%
Good Governance, Public Participation	3	9%
Sub total	26	80%
Core competencies	12	20%
Total		100%

5.7 The competency framework as set out in the Regulations on Appointment and Conditions of Employment of Senior Managers (17 January 2014) consists of six leading competencies which comprise twenty driving competencies that communicate what is expected for effective performance in local government, and six core competencies that act as drivers to ensure that the leading competencies are executed at an optimal level.

LEADI	ING COMPETENCIES	DRIVING COMPETENCIES
1.	Strategic Direction and	Impact and Influence
	Leadership	Institutional Performance Management
		Strategic Planning and Management
		Organisational Awareness
2.	People Management	Human Capital Planning and Development
		Diversity Management
		Employee Relations Management
		Negotiation and Dispute Management
3.	Program and Project	Program and Project Planning and Implementation
	Management	Service Delivery Management
		<ul> <li>Program and Project Monitoring and Evaluation</li> </ul>
4.	Financial Management	Budget Planning and Execution
		Financial Strategy and Delivery
		Financial Reporting and Monitoring
5.	Change Leadership	Change Vision and Strategy



		Process Design and Improvement
		Change Impact Monitoring and Evaluation
6.	Governance Leadership	Policy Formulation
	•	Risk and Compliance Management
		Cooperative Governance
CORE CO	MPETENCIES	
7.	Moral Competence	
8.	Planning and Organising	
9.	Analysis and Innovation	
10.	Knowledge and Information	
	Management	
11.	Communication	
12.	Results and Quality Focus	

5.8 There is no hierarchical connotation to the competencies and all are essential to the role of a senior manager to influence high performance. All competencies will therefore be considered as measurable and critical in assessing the level of the Employees performance.

### 6. PERFORMANCE ASSESSMENT

- The Employee's performance will be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan (IDP)
- 6.2 The Employee will submit his self-assessment to the Employer prior to the formal assessment;
- 6.3 Performance assessments will entail:
  - 6.3.1 Assessment of the achievement of results as outlined in the performance plan (ANNEXURE A):
    - 6.3.1.1 Each KPI shall be assessed according to the extent to which the specified standards or performance targets have been met and with due regard to ad-hoc tasks that had to be performed under the KPI.
    - 6.3.1.2 The assessment of the performance of the Employee will be based on the following rating scale for KPI's:



Rating	Terminology	Description
		Performance far exceeds the standard expected of an
		employee at this level. The appraisal indicates that the
5	Outstanding	<b>Employee</b> has achieved above fully effective results against all
5	performance	performance criteria and indicators as specified in the
		Performance Plan and maintained this in all areas of
		responsibility throughout the year.
	Performance	Performance is significantly higher than the standard expected
	significantly	in the job. The appraisal indicates that the <b>Employee</b> has
4	above	achieved above fully effective results against more than half of
	expectations	the performance criteria and indicators and fully achieved all
	CAPCCULIONS	others throughout the year.
		Performance fully meets the standards expected in all areas of
3	Fully effective	the job. The appraisal indicates that the <b>Employee</b> has fully
5	rully effective	achieved effective results against all significant performance
		criteria and indicators as specified in the Performance Plan.
		Performance is below the standard required for the job in key
		areas. Performance meets some of the standards expected for
2	Not fully	the job. The appraisal indicates that the <b>Employee</b> has
-	effective	achieved below fully effective results against more than half
		the key performance criteria and indicators as specified in the
		Performance Plan.
		Performance does not meet the standard expected for the job.
	,	The appraisal indicates that the <b>Employee</b> has achieved below
	Unacceptable performance	fully effective results against almost all of the performance
1		criteria and indicators as specified in the Performance Plan.
	- 3.101.1100	The <b>Employee</b> has failed to demonstrate the commitment or
		ability to bring performance up to the level expected in the job
		despite management efforts to encourage improvement.

- 6.3.1.3 The rating will then be multiplied by the weighting to calculate the final score;
- 6.3.1.4 An overall rating will be calculated based on the total of the individual ratings calculated above.



- 6.3.1.5 In the instance where the employee could not perform due to reasons outside the control of the employer and employee, the KPI will not be considered during the evaluation. The employee should provide sufficient evidence in such instances; and
- 6.3.2 Assessment of competencies
  - 6.3.2.1 Each competency shall be assessed according to the extent to which the specified standards for the required proficiency level have been met;
  - 6.3.2.2 The assessment of the performance of the Employee will be based on the following rating scale for Competencies:

Rating	Achievement level	Description
2	Basic	Applies basic concepts, methods, and understanding of local government operations, but requires supervision and development intervention
3	Competent	Develops and applies more progressive concepts, methods and understanding. Plans and guides the work of others and executes progressive analyses
4	Advanced	Develops and applies complex concepts, methods and understanding. Effectively directs and leads a group and executes in- depth analyses
5	Superior	Has a comprehensive understanding of local government operations, critical in shaping strategic direction and change, develops and applies comprehensive concepts and methods

- 6.3.2.3 The rating will then be multiplied by the weighting to calculate the final score. Each competency shall carry an equal weighting;
- 6.3.2.4 A full description of achievement levels per competency is attached as **ANNEXURE B.**
- 6.3.3 Overall rating

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An overall rating is calculated by combining the rating from 6.3.1 and 6.3.2 above. Such overall rating represents the outcome of the performance appraisal.

- 6.4 For purposes of appraising the performance of the Employee, an evaluation panel constituted of the following persons will be established, as mutually agreed upon:
  - 6.4.1 Municipal Manager;
  - 6.4.2 Chairperson of the Performance Audit Committee or the Audit Committee in the absence of an Performance Audit Committee;
  - 6.4.3 Municipal Manager from another municipality; and
  - 6.4.4 Member of the Mayoral Committee (Portfolio Chairperson).

### 7 SCHEDULE FOR PERFORMANCE REVIEWS

7.1 The performance of each Employee in relation to his performance agreement shall be reviewed on the following dates:

Quarter	Review Period	Review to be completed by
1	July – September 2014	December 2014 (Review by Municipal Manager)
2	October – December 2014	March 2015 (Mid-year Panel Assessment)
3	January – March 2015	June 2015 (Review by Municipal Manager)
4	April – June 2015	September 2015 (Year-end Panel Assessment)

- 7.2 Despite the establishment of agreed intervals for evaluation, the Employer may in addition review the Employee's performance at any stage while the contract of employment remains in force;
- 7.3 Performance reviews in the first and third quarter may be verbal if performance is deemed to be satisfactory by the Municipal Manager. In the event of unsatisfactory performance a panel evaluation shall be convened.
- 7.4 The Employer shall keep a record of the mid-year, year-end and any other assessment meetings where a panel evaluation is convened;
- 7.5 Performance feedback shall be based on the Employer's assessment of the Employee's performance;



- 7.6 The Employer will be entitled to review and make reasonable changes to the provisions of **ANNEXURE A** from time to time for operational reasons. The Employee will be fully consulted before any such change is made; and
- 7.7 The Employer may amend the provisions of **ANNEXURE A** whenever the performance management system is adopted, implemented and/or amended as the case may be. In that case, the Employee will be fully consulted before any such change is made.

### 8 DEVELOPMENTAL REQUIREMENTS

- 8.3 Personal growth and development needs identified during any performance appraisal discussion must be documented in a Personal Development Plan as well as the actions agreed to and implementation must take place within set time frames;
- 8.4 The Personal Development Plan (PDP) for addressing developmental gaps must be developed, if deemed necessary in individual cases in consultation with the employee, the Portfolio Councillor and the Municipal Manager.

### 9 OBLIGATIONS OF THE EMPLOYER

- 9.3 The Employer shall-
  - 9.3.1 Create an enabling environment to facilitate effective performance by the employee;
  - 9.3.2 Provide access to skills development and capacity building opportunities;
  - 9.3.3 Work collaboratively with the Employee to solve problems and generate solutions to common problems that may impact on the performance of the Employee;
  - 9.3.4 On the request of the Employee delegate such powers reasonably required by the Employee to enable him to meet the performance objectives and targets established in terms of this Agreement; and
  - 9.3.5 Make available to the Employee such resources as the Employee may reasonable require from time to time assisting him to meet the performance objectives and targets established in terms of this Agreement.



### 10 CONSULTATION

- 10.1 The Employer agrees to consult the Employee timeously where the exercising of powers will have amongst others-
  - 10.3.1 A direct effect on the performance of any of the Employee's functions;
  - 10.3.2 Commit the Employee to implement or to give effect to a decision made by the Employer; and
  - 10.3.3 A substantial financial effect on the Employer.
- 10.2 The Employer agrees to inform the Employee of the outcome of any decisions taken pursuant to the exercise of powers contemplated in clause 10.1 as soon as is practical to enable the Employee to take any necessary action.

### 11 REWARD

The employer and employee agree that no bonuses will be paid for outstanding performance on condition that market related remuneration packages are paid, which will not be less favorable than the current remuneration.

### 12 MANAGEMENT OF ASSESSMENT OUTCOMES

- Where the employer is, at any time during the employee's employment, not satisfied with the manager's performance in respect of any matter dealt with in this Agreement, the employer will give notice to the employee to attend a meeting.
- 12.2 The employee will have the opportunity at the meeting to satisfy the employer in respect of the measures being taken to ensure that his performance becomes satisfactory and any programme, including any dates, for implementing these measures.
- 12.3 Where there is a dispute or difference as to the performance of the employee under this Agreement, the parties will confer with a view to resolve the dispute or difference.
- 12.4 In the case of unacceptable performance, the employer shall
  - 12.4.1 Provide systematic remedial or developmental support to assist the Employee to improve his performance; and
  - 12.4.2 After appropriate performance counseling and having provided the necessary guidance and/or support as well as reasonable time for improvement in performance, the Employer may consider steps to terminate the contract of



employment of the Employee on grounds of unfitness or incapacity to carry out his duties.

### 13 DISPUTE RESOLUTION

- 13.1 Any disputes about the nature of the employees performance agreement, whether it relates to key responsibilities, priorities, methods of assessment must be mediated by the Executive Mayor within 30 days of receipt of a formal dispute from the employee. The Executive Mayors decision shall be final and binding on both parties.
- 13.2 Any disputes about the outcomes of the employee's performance evaluation must be mediated by a member of the Municipal Council provided that such member was not part of the evaluation panel within 30 days of receipt of a formal dispute from the employee. The Executive Mayors decision shall be final and binding on both parties.

### 14 GENERAL

- 14.1 The contents of this agreement and the outcome of any review conducted in terms of **ANNEXURE A** may be made available to the public by the Employer.
- 14.2 Nothing in this agreement diminishes the obligations, duties or accountabilities of the Employee in terms of his contract of employment, or the effects of existing or new regulations, circulars, policies, directives or other legal instruments.

Thus done and signed at Pikethera on this the 30th day of July 2014.

**AS WITNESSES:** 

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Thus done and signed at Piketberg	on this the30Hb_ day of
July 2014.	
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AS WITNESSES:	
1 Myd Westh	thude
	MUNICIPAL MANAGER
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### **ANNEXURE A: PERFORMANCE PLAN**

- Budget Implementation Plan (SDBIP) and the Budget of the Employer, and includes key performance indicators, units of measure, details of evidence The Performance Plan sets out the performance objectives and targets which are based on the Integrated Development Plan, Service Delivery and that must be provided to show that the indicator has been achieved, target dates and weightings which show the relative importance of key performance indicators to one another.
- The employee's assessment will be based on his/her performance in terms of the outputs/outcomes (performance indicators) identified as per the performance plan which are linked to the National KPA's, which constitute 80% of the overall assessment result as per the weightings agreed to between the employer and employee. :**=**
- The performance management system automatically rates performance in terms of the key performance indicators as follows which correlates with the rating referred to in 6.3.1 i≓

Category	Colour	Explanation
		Performance does not meet the standard expected for the job. The review/assessment indicates that they employee has
KPI's Not Met/		achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and
Unacceptable performance	d.,	Performance Plan. The employee has failed to demonstrate the commitment or ability to bring performance up to the level
		expected in the job despite management efforts to encourage improvement.
KPI's Almost Met /		Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for
Not fully effective	ļή.	the job. The review/assessment indicates that the employee has achieved below fully effective results against more than half
		the key performance criteria and indicators as specified in the PA and Performance Plan.
KPI's Met /	G	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully
Fully effective	ກ	achieved effective results against all significant performance criteria and indicators as specified in the PA and Performance Plan
KPI's Well Met /		Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has
Performance significantly above	4	achieved above fully effective results against more than half of the performance criteria and indicators and fully achieved all
expectations		others throughout the year.
KPI's Extremely Well Met /		Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has
Outstanding Performance	JO.	achieved above fully effective results against all performance criteria and indicators as specified in the PA and Performance plan
0		and maintained this in all areas of responsibility throughout the year.



### KEY PERFORMANCE INDICATORS AND TARGETS

Q3     Q4     Weighting       2015     2015       75     95     4       0     10     4       50     100     4       30     100     4       0     10     4	2 4 4
0 0 0 30 30 0 0 0 0 0 0 0 0 0 0 0 0 0 0	2
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0
Sep 2014 14 14 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0
Annual Target 10 100 100 100	4
Percent age age Bercent age age Bercent age age Bercent age	Numbe
Unit of Baseline Source of Calculation  Weasurem evidence Type ent Spent 000 expenditur ereport  Spent 000 expenditur ereport  Spent 000 expenditur ereport  Water 11% AFS Reverse Stand-Alone  LO% losses 1329 000 Promis ereport  Spent on upgrading of Velddrif reservoir infrastructu  Spent on 6 water infrastructu  WallG R2 329 000 Promis ereport  Sof water infrastructu  Spent on 88 spent on 88 spent on 6 ereport  Spent on 6 water infrastructu  Spent on 6 electricity Stand-Alone  Alone Alone  Alone Alone  Spent on 88 spent on 8	Carry Over
Source of evidence Promis expenditur e report Promis expenditur e report RAFS AFS AFS AFS AFS AFS	Maintenan
R8,412,,000 R2 329 000 R2 329 000	New KPI
Measurement  Measurement  Modget  Spent  Water losses  WallG  Funding spent on upgrading of Velddrif reservoir reservoir reservoir losses  MIG  Funding spent on upgrading of water infrastructure reservoir losses  Spent on upgrading of water infrastructure reservoir losses	No of
The percentage of a municipality's capital budget actually spent on capital budget actually spent on capital projects identified for a particular financial year in terms of the municipality's integrated development plan (Technical Services). (Reg 796)  Restrict annual water losses to 10% water losses to 10% lograde Water losses to 10% by 30 June 2015  Upgrade Water infrastructure (Porterville) (Phase 1) by 30 June 2015  Upgrade Water infrastructure (Porterville) (Phase 1) by 30 June 2015	Closure of landfill
Municipal Financial Viability and Management Management Wanagement Wanagement Delivery Basic Service Delivery Municipal Financial Viability and Municipal Financial Viability and Management	Local Economic
To budget strategically, grow and diversify our revenue and ensure value for money services  To budget strategically, grow and diversify our revenue and ensure value for money services  To provide and maintain bulk and service infrastructure that will address backlogs and provide for future development To provide and maintain bulk and service infrastructure that will address backlogs and provide for future development To brovide and maintain bulk and service infrastructure that will address backlogs and provide for future development To budget strategically, grow and diversify our revenue and ensure	value for money services To conserve and
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Weighting			m	4	4	4	-
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63	Mar	2015	0	0	0	0	95
0,2	Dec	4T07	0	0	0	0	95
Q1	Sep	4707	0	0	0	0	95
Annual	Target		10	128	T.	1	95
Target	Гуре		age age	Numbe	Numbe	Numbe	Percent age
Calculation	ıype		Carry Over	Stand- Alone	Stand- Alone	Stand- Alone	Stand- Alone
Source of	evidence		Promis expenditur e report	Employmen t contracts	Mayoral Committee Minutes	Mayoral Committee Minutes	IGNITE Compliance
Baseline			20%	1000 (Not FTE)	New KPI	New KPI	95
Unit of	ent		% reduction in the cost of household waste transported from 2010/11 cost (Maintenan ce of saving)	Number of temporary job opportuniti es created (Calculated as full time equivalents (FTE))	No of revisions	No of revisions	% Compliance
KPI			Reduce the volume of household waste transported to approved dumping sites through the recycling programme	The number of jobs created through the municipality's local, economic development initiatives including capital projects; (Reg 796)	Revise Water Services Development Plan by 30 June 2015	Revise Integrated Waste Management Plan by 30 June 2015	Compliance with laws and
KPA			Local Economic Development	Local Economic Development	Basic Service Delivery	Basic Service Delivery	Good Governance and Public
Strategic objective		provide for future development	To conserve and manage the natural environment and mitigate the impacts of climate change	To promote cultural and socio economic development of our community	To provide and maintain bulk and service infrastructure that will address backlogs and provide for future development	To provide and maintain bulk and service infrastructure that will address backlogs and provide for future development	To provide open transparent
REF			712	713	114	115	T16



REF	Strategic objective	KPA	KPI	Unit of	Baseline	Source of	Calculation	Target	Annual	5	03	50	5	Woirhting
				Measurem		evidence	Type	Type	Target	}	ļ.	}	ţ	สีเมาเสีเลงง
				ent		-	:		<b>.</b>	Sep	Dec	Mar	Jun	
	corruption free	Participation	regulations	actions		Report				5074	+T07	CT07	5107	
	governance			executed	_									
				within time										
				Trame										
				(IGNITE										
				Compilance Assist										
				System)						_				
T17	To provide open	Good Governance	Quarterly update of	No of	4	IGNITE Risk	Carry Over	Numbe	4		2		4	2
_	transparent	and Public	mitigation factors of	updates		Assist		_				)		1
	corruption free	Participation	strategic risks	executed		Report /								
	governance			within time	_	corrective								
				frame	_	instructions				-				
				(IGNITE						-				
				Svstem)		_						-		
T18	To create an	Municipal	Implement /	No of	100	Mid vear	Stand-	Percent	100		100	-		,
	efficient, effective	Transformation and	address	implement		(572	Alone	age	2		007	>	>	7
	and accountable	Institutional	recommendations	able		Report)	)	200						
	administration	Development	as contained in the	recommen		indicating								
			Oversight	dations		how								
			Committee Report	addressed		matters							-	
			by 30 December	by 30	-	were								
			2014	December		addressed				-				
				2014						-				
T19	To create an	Municipal	Implement Mayoral	%	100	IMIS	Stand-	Percent	100	100	100	100	100	2
	efficient, effective	Transformation and	Committee and	resolutions		Resolutions	Alone	age						ı
	and accountable	Institutional	Council Resolutions	implement		Report								
	administration	Development	within prescribed	ed within										
			timeframe	timeframe	. —									
			stipulated on system									-		•
T20	To create an	Municipal	Quarterly update of	% audit	100	IGNITE	Stand-	Percent	100	100	100	100	100	2
	efficient, effective	Transformation and	audit related	matters		Audit Assist	Alone	age			)	2	2	4
	and accountable	Institutional	matters (queries,	attended to		Report		)						
	administration	Development	OPCAR)	within										
				timeframe										
				(IGNITE	_									
				Audit Assist										
F	-			System)										
121	lo budget	Municipal Financial	Ensure that	No of	2	Report	Stand-	Numbe	1	0	0	0	0	2
	strategically, grow	Viability and	operational	reports		submitted	Alone	_						
	alla diversity our	Management	expenditure is in	submitted		to Budget								



Weighting					St.									,	7									7					2					2			
04	Jun	2015												007	oor I								007	OOT					7					2			
03	Mar	2015						,						200	001								00,	007					0					0			
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Target	Appe													Percent	יכוכנונ	age age						-	Parcent	age -	b .			-	Nampe	_				Numbe	_		
Calculation	p d k													Stand-	None Original	Alone							Stand-	Alone					Cally Over					Accumulati	ve		
Source of		Steering Committee	purposes of	adjustment								-		IMIS	Customor	care report							IMIS Report	on tasks /	post	assigned		3	religilitation	evaluation	forms			Submitted	funding /	support	application
Baseline								.83	×	;	a A			100								2	100					Idy woll	formulation					New KPI	formulation		
Unit of	ent	on utilisation of	operational	with	explanation	S TOF	variances in prescribed	format	(Vote1) by	due date as	per the	budget	time	%	complaints	addressed	within the	timeframe	stipulated	on system	(IMIS	Customer	care) % Tasks /	post	addressed	within	prescribed	timetrame	service	provider	evaluations			No of	external	funding/	support
KPI		line with budget and timeframes (SA29)												Ensure that	complaints are	addressed within	the prescribed	timeframe		-			Ensure that	correspondence	and instructions are	attended to within	the prescribed	timetrame Evaluate the	performance of all	service providers	with contracts of 12	months or longer	each semester	Source one external	funding / support	source per	סבווובסרבו מוומ
КРА														Municipal	Transformation and	Institutional	Development						Municipal	Transformation and	Institutional	Development		Good Governance	and Public	Participation				Municipal Financial	Viability and	Management	
Strategic objective		revenue and ensure value for money services												To create an	efficient, effective	and accountable	administration				2		To create an	efficient, effective	and accountable	administration		To provide open	transparent	corruption free	governance			To budget	strategically, grow	revenue and ensure	
REF														T22									123					T24						T25			



DEC	_	442												
NET	ori ategic objective	KFA	KPI	Unit of	Baseline	Source of	Calculation	Target	Annual	01	02	03	04	Waighting
				Measurem		evidence	Type	Type	Target		,	<b>,</b>	ř	9
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										2014	2014	2015	2015	
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	efficient, effective	Transformation and	monitoring and	met by	formulation	SDBIP	Alone	986	)	2	3	2	06	7
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### ANNEXURE B: COMPETENCY DESCRIPTIONS COMPETENCY DESCRIPTIONS (ANNEXURE B)

### 1. Leading Competencies Cluster

Competency Name	Strategic Direction and		
Competency Definition	Provide and direct a vis	ion for the institution, and ins	pire and deploy others to
	deliver on the strategic	institutional mandate	
		TENT LEVELS	
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul> <li>Understand institutional and departmental strategic objectives, but lacks the ability to inspire others to achieve set mandate</li> <li>Describe how specific tasks link to institutional strategies but has limited influence in directing strategy</li> <li>Has a basic understanding of institutional performance management, but lacks the ability to integrate systems into a collective whole</li> <li>Demonstrate a basic understanding of key decision- makers</li> </ul>	<ul> <li>Give direction to a team in realising the institution's strategic mandate and set objectives</li> <li>Has a positive impact and influence on the morale, engagement and participation of team members</li> <li>Develop actions plans to execute and guide strategy implementation</li> <li>Assist in defining performance measures to monitor the progress and effectiveness of the institution</li> <li>Displays an awareness of institutional structures and political factors</li> <li>Effectively communicate barriers to execution to relevant parties</li> <li>Provide guidance to all stakeholders in the achievement of the strategic mandate</li> <li>Understand the aim and objectives of the institution and relate it to own work</li> </ul>	determine value and alignment to strategic intent  • Display in-depth knowledge and understanding of strategic planning  • Align strategy and goals across all functional areas Actively define performance measures to monitor the progress and effectiveness of the institution  • Consistently challenge strategic plans to ensure relevance  • Understand institutional structures and political factors, and the consequences of actions	<ul> <li>Structure and position the institution to local government priorities</li> <li>Actively use in-depth knowledge and understanding to develop and implement a comprehensive institutional framework</li> <li>Hold self- accountable for strategy execution and results</li> <li>Provide impact and influence through building and maintaining strategic relationships</li> <li>Create an environment that facilitates loyalty and innovation Display a superior level of self-discipline and integrity in actions</li> <li>Integrate various systems into a collective whole to optimise institutional performance management</li> <li>Uses understanding of competing interests to manoeuvre successfully to a win/win outcome</li> </ul>



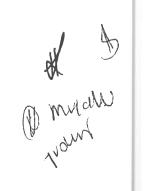
Competency Name		People Management				
Competency Definition				e and encourage people, re ure relationships in order to		
		ACHIEVEN	1EI	NT LEVELS		
BASIC		COMPETENT		ADVANCED		SUPERIOR
<ul> <li>Participate in team goal-setting and problem solving</li> <li>Interact and collaborate with people of diverse backgrounds</li> <li>Aware of guidelines for employee development, but requires support in implementing development initiatives</li> </ul>	• Re div and be apple Eff and income op execution of the second of the s	ek opportunities to crease team entribution and sponsibility spect and support the verse nature of others do be aware of the enefits of a diverse proach ectively delegate tasks does empower others to crease contribution and ecute functions timally ply relevant employee islation fairly and ensistently cilitate team goalting and probleming ectively identify pacity requirements to fill the strategic endate	•	Identify ineffective team and work processes and recommend remedial interventions Recognise and reward effective and desired behaviour Provide mentoring and guidance to others in order to increase personal effectiveness Identify development and learning needs within the team Build a work environment conducive to sharing, innovation, ethical behaviour and professionalism Inspire a culture of performance excellence by giving positive and constructive feedback to the team Achieve agreement or consensus in adversarial environments Lead and unite diverse teams across divisions to achieve institutional objectives	•	Develop and incorporate best practice people management processes, approaches and tools across the institution Foster a culture of discipline, responsibility and accountability Understand the impact of diversity in performance and actively incorporate a diversity strategy in the institution Develop comprehensive integrated strategies and approaches to human capital development and management Actively identify trends and predict capacity requirements to facilitate unified transition and performance management



Competency Name	Program and Project M		
Competency Definition		gram and project management valuate specific activities in orc	
	objectives	valuate specific activities in or	uer to deliver on set
	ACHIEVEM	ENT LEVELS	
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul> <li>Initiate projects after approval from higher authorities</li> <li>Understand procedures of program and project management methodology, implications and stakeholder involvement</li> <li>Understand the rational of projects in relation to the institution's strategic objectives</li> <li>Document and communicate factors and risk associated with own work</li> <li>Use results and approaches of successful project implementation as guide</li> </ul>	milestones  • Define the roles and responsibilities of the project team and create clarity around	<ul> <li>Manage multiple programs and balance priorities and conflicts according to institutional goals</li> <li>Apply effective risk management strategies through impact assessment and resource requirements</li> <li>Modify project scope and budget when required without compromising the quality and objectives of the project</li> <li>Involve top-level authorities and relevant stakeholders in seeking project buy-in</li> <li>Identify and apply contemporary project management methodology</li> <li>Influence and motivate project team to deliver exceptional results</li> <li>Monitor policy implementation and apply procedures to manage risks</li> </ul>	<ul> <li>Understand and conceptualise the long-term implications of desired project outcomes</li> <li>Direct a comprehensive strategic macro and micro analysis and scope projects accordingly to realise institutional objectives</li> <li>Consider and initiate projects that focus on achievement of the long-term objectives</li> <li>Influence people in positions of authority to implement outcomes of projects</li> <li>Lead and direct translation of policy into workable actions plans</li> <li>Ensures that programs are monitored to track progress and optimal resource utilisation, and that adjustments are made as needed</li> </ul>



Competency Name	Financial Management		
Competency Definition	Able to compile, plan ar	nd manage budgets, control ca	sh flow, institute financial
	risk management and a	dminister procurement proces	sses in accordance with
	recognised financial pra	ctices. Further to ensure that	all financial transactions are
	managed in an ethical m		
	<del></del>	ENT LEVELS	
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul> <li>Understand basic financial concepts and methods as they relate to institutional processes and activities</li> <li>Display awareness into the various sources of financial data, reporting mechanisms, financial governance, processes and systems</li> <li>Understand the importance of financial accountability</li> <li>Understand the importance of asset control</li> </ul>	<ul> <li>Exhibit knowledge of general financial concepts, planning, budgeting, and forecasting and how they interrelate</li> <li>Assess, identify and manage financial risks</li> <li>Assume a cost- saving approach to financial management</li> <li>Prepare financial reports based on specified formats</li> <li>Consider and understand the financial implications of decisions and suggestions</li> <li>Ensure that delegation and instructions as required by National Treasury guidelines are reviewed and updated</li> </ul>	Take active ownership of planning, budgeting, and forecast processes and provides credible answers to queries within own responsibility Prepare budgets that are aligned to the strategic objectives of the institution Address complex	Develop planning tools to assist in evaluating and monitoring future expenditure trends     Set budget frameworks for the institution     Set strategic direction for the institution on expenditure and other financial processes     Build and nurture partnerships to improve financial management and achieve financial savings     Actively identify and implement new methods to improve asset control     Display professionalism in dealing with financial data and processes



Competency Name	Change Leadership		
Competency Definition	Able to direct and initiat	te institutional transformation	on all levels in order to
	successfully drive and in	nplement new initiatives and o	deliver professional and
	quality services to the co	ommunity	
	ACHIEVEM	ENT LEVELS	
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul> <li>Display an awareness of change interventions, and the benefits of transformation initiatives</li> <li>Able to identify basic needs for change</li> <li>Identify gaps between the current and desired state</li> <li>Identify potential risk and challenges to transformation, including resistance to change factors</li> <li>Participate in change programs and piloting change interventions</li> <li>Understand the impact of change interventions on the institution within the broader scope of Local government</li> </ul>	objectives and goals	<ul> <li>Actively monitor change impact and results and convey progress to relevant stakeholders</li> <li>Secure buy-in and sponsorship for change initiatives</li> <li>Continuously evaluate change strategy and design and introduce new approaches to enhance the institution's effectiveness</li> <li>Build and nurture relationships with various stakeholders to establish strategic alliance in facilitating change</li> <li>Take the lead in impactful change programs</li> <li>Benchmark change interventions against best change practices</li> <li>Understand the impact and psychology of change, and put remedial interventions in place to facilitate effective transformation</li> <li>Take calculated risk and seek new ideas from best practice scenarios, and identify the potential for implementation</li> </ul>	<ul> <li>Sponsor change agents and create a network of change leaders who support the interventions</li> <li>Actively adapt current structures and processes to incorporate the change interventions</li> <li>Mentor and guide team members on the effects of change, resistance factors and how to integrate change</li> <li>Motivate and inspire others around change initiatives</li> </ul>



Competency Name	Governance Leadership		
Competency Definition	Able to promote, direct	and apply professionalism in r	managing risk and complianc
		a thorough understanding of	
	obligations. Further, ab	le to direct the conceptualisati	on of relevant policies and
	enhance cooperative go	overnance relationships	
	ACHIEVEM	ENT LEVELS	
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul> <li>Display a basic awareness of risk, compliance and governance factors but require guidance and development in implementing such requirements</li> <li>Understand the structure of cooperative government but requires guidance on fostering workable relationships between stakeholders</li> <li>Provide input into policy formulation</li> </ul>	Display a thorough understanding of governance and risk and compliance factors and implement plans to address these     Demonstrate understanding of the techniques and processes for optimising risk taking decisions within the institution     Actively drive policy formulation within the institution to ensure the achievement of objectives	<ul> <li>Able to link risk initiatives into key institutional objectives and drivers</li> <li>Identify, analyse and measure risk, create valid risk forecasts, and map risk profiles</li> <li>Apply risk control methodology and approaches to prevent and reduce risk that impede on the achievement of institutional objectives</li> <li>Demonstrate a thorough understanding of risk retention plans</li> <li>Identify and implement comprehensive risk management systems and processes</li> <li>Implement and monitor the formulation of policies, identify and analyse constraints and challenges with implementation and provide recommendations for improvement</li> </ul>	



### 2. Core Competencies Cluster

Competency Name	Moral Competence		,
Competency Definition	Able to identify moral t	riggers, apply reasoning that p	romotes honesty and
-	integrity and consistent	ly display behaviour that refle	cts moral competence
	ACHIEVEN	IENT LEVELS	
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul> <li>Realise the impact of acting with integrity, but requires guidance and development in implementing principles</li> <li>Follow the basic rules and regulations of the institution</li> <li>Able to identify basic moral situations, but requires guidance and development in understanding and reasoning with moral intent</li> </ul>	Conduct self in alignment with the values of Local Government and the institution Able to openly admit own mistakes and weaknesses and seek assistance from others when unable to deliver Actively report fraudulent activity and corruption within local government Understand and honour the confidential nature of matters without seeking personal gain Able to deal with situations of conflict of interest promptly and in the best interest of local government	transparent and gain the approval of relevant stakeholders • Present values, beliefs and ideas that are congruent	<ul> <li>Create an environment conducive of moral practices</li> <li>Actively develop and implement measures to combat fraud and corruption</li> <li>Set integrity standards and shared accountability measures across the institution to support the objectives of local government</li> <li>Take responsibility for own actions and decisions, even if the consequences are unfavourable</li> </ul>



Competency Name	Planning and Organisin	g	
Competency Definition	Able to plan, prioritise a	and organise information and r	esources effectively to
	ensure the quality of se	rvice delivery and build efficie	nt contingency plans to
	manage risk		
	ACHIEVEM	ENT LEVELS	
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul> <li>Able to follow basic plans and organise tasks around set objectives</li> <li>Understand the process of planning and organising but requires guidance and development in providing detailed and comprehensive plans</li> <li>Able to follow existing plans and ensure that objectives are met</li> <li>Focus on short- term objectives in developing plans and actions</li> </ul>	<ul> <li>Actively and appropriately organise information and resources required for a task</li> <li>Recognise the urgency and importance of tasks</li> <li>Balance short and long-term plans and goals and incorporate into the team's performance objectives</li> <li>Schedule tasks to ensure they are performed within budget and with efficient use of time and resources</li> <li>Measures progress and monitor performance results</li> </ul>		



Competency Name	Analysis and Innovatio	n	
Competency Definition	Able to critically analyse	e information, challenges and t	trends to establish and
	implement fact-based s	colutions that are innovative to	improve institutional
	processes in order to ac	chieve key strategic objectives	
	ACHIEVEN	IENT LEVELS	
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul> <li>Understand the basic operation problem solving of analysis, but lack detail and thoroughness</li> <li>Able to balance independent analysis with requesting assistance from others</li> <li>Recommend new ways to perform tasks within own function</li> <li>Propose simple remedial interventions that marginally challenges the status quo</li> <li>Listen to the ideas and perspectives of others and explore opportunities to enhance such innovative thinking</li> </ul>	<ul> <li>Demonstrate Logical techniques and approaches and provide rationale for recommendations</li> </ul>	<ul> <li>Coaches team members on analytical and innovative approaches and techniques</li> <li>Engage with appropriate individuals in analysing and resolving complex problems</li> <li>Identify solutions on various areas in the institution</li> <li>Formulate and implement new ideas throughout the institution</li> <li>Able to gain approval and buy- in for proposed interventions from relevant stakeholders</li> <li>Identify trends and best practices in process and service delivery and propose institutional</li> </ul>	<ul> <li>Demonstrate complex analytical and problem</li> </ul>



Competency Name	Knowledge and Inform	ation Management	
Competency Definition	Able to promote the ge	neration and sharing of knowl	edge and information
	through various process	ses and media, in order to enh	ance the collective
	knowledge base of loca	government	
	ACHIEVEM	ENT LEVELS	4
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul> <li>Collect, categorise and track relevant information required for specific tasks and projects</li> <li>Analyse and interpret information to draw conclusions</li> <li>Seek new sources of information to increase the knowledge base</li> <li>Regularly share information and knowledge with internal stakeholders and team members</li> </ul>	Use appropriate information systems and technology to manage institutional knowledge and information sharing     Evaluate data from various sources and use information effectively to influence decisions and provide solutions     Actively create mechanisms and structures for sharing of information     Use external and internal resources to research and provide relevant and cutting-edge knowledge to enhance institutional effectiveness and efficiency	<ul> <li>Effectively predict future information and knowledge management requirements and systems</li> <li>Develop standards and processes to meet future knowledge management needs</li> <li>Share and promote best-practice knowledge management across various institutions</li> <li>Establish accurate measures and monitoring systems for knowledge and information management</li> <li>Create a culture conducive of learning and knowledge sharing</li> <li>Hold regular knowledge and information sharing sessions to elicit new ideas and share best practice approaches</li> </ul>	<ul> <li>Create and support a vision and culture where team members are empowered to seek, gain and share knowledge and information</li> <li>Establish partnerships across local government to facilitate knowledge management</li> <li>Demonstrate a mature approach to knowledge and information sharing with an abundance and assistance approach</li> <li>Recognise and exploit knowledge points in interactions with internal and external stakeholders</li> </ul>



Competency Name	Communication				
Competency Definition	Able to share information	Able to share information, knowledge and ideas in a clear, focused and concise			
	manner appropriate for the audience in order to effectively convey, persuade and				
	influence stakeholders t	influence stakeholders to achieve the desired outcome			
ACHIEVEMENT LEVELS					
BASIC	COMPETENT	ADVANCED	SUPERIOR		
<ul> <li>Demonstrate an understanding for communication levers and tools appropriate for the audience, but requires guidance in utilising such tools</li> <li>Express ideas in a clear and focused manner, but does not always take the needs of the audience into consideration</li> <li>Disseminate and convey information and knowledge adequately</li> </ul>	<ul> <li>Express ideas to individuals and groups in formal and informal settings in an manner that is interesting and motivating</li> <li>Able to understand, tolerate and appreciate diverse perspectives, attitudes and beliefs</li> <li>Adapt communication content and style to suit the audience and facilitate optimal information transfer</li> <li>Deliver content in a manner that gains support, commitment and agreement from relevant stakeholders</li> <li>Compile clear, focused, concise and well-structured written documents</li> </ul>	Effectively communicate high-risk and sensitive matters to relevant stakeholders     Develop a well-defined communication strategy     Balance political perspectives with institutional needs when communicating viewpoints on complex issues     Able to effectively direct negotiations around complex matters and arrive at a win-win situation that promotes Batho Pele principles     Market and promote the institution to external stakeholders and seek to enhance a positive image of the institution     Able to communicate with the media with high levels of moral competence and discipline	<ul> <li>Regarded as a specialist in negotiations and representing the institution</li> <li>Able to inspire and motivate others through positive communication that is impactful and relevant</li> <li>Creates an environment conducive to transparent and productive communication and critical and appreciative conversations</li> <li>Able to coordinate negotiations at different levels within local government and externally</li> </ul>		



Competency Name	Results and Quality Fo	cus		
Competency Definition	Able to maintain high q	Able to maintain high quality standards, focus on achieving results and objectives		
		while consistently striving to exceed expectations and encourage others to meet		
	easure results and quality			
	against identified objec	tives		
ACHIEVEMENT LEVELS				
BASIC	COMPETENT	ADVANCED	SUPERIOR	
<ul> <li>Understand quality of work but requires guidance in attending to important matters</li> <li>Show a basic commitment to achieving the correct results</li> <li>Produce the minimum level of results required in the role</li> <li>Produce outcomes that is of a good standard</li> <li>Focus on the quantity of output but requires development in incorporating the quality of work</li> <li>Produce quality work in general circumstances, but fails to meet expectation when under pressure</li> </ul>	Focus on high- priority actions and does not become distracted by lower-priority activities	<ul> <li>Consistently verify own standards and outcomes to ensure quality output</li> <li>Focus on the end result and avoids being distracted</li> <li>Demonstrate a determined and committed approach to achieving results and quality standards</li> <li>Follow task and projects through to completion</li> <li>Set challenging goals and objectives to self and team and display commitment to achieving expectations</li> <li>Maintain a focus on quality outputs when placed under pressure</li> <li>Establishing institutional systems for managing and assigning work, defining responsibilities, tracking, monitoring and measuring</li> </ul>	<ul> <li>Coach and guide others to exceed quality standards and results</li> <li>Develop challenging, client-focused goals and sets high standards for personal performance</li> <li>Commit to exceed the results and quality standards, monitor own performance and implement remedial interventions when required</li> <li>Work with team to set ambitious and challenging team goals, communicating long-and short-term expectations</li> <li>Take appropriate risks to accomplish goals</li> <li>Overcome setbacks and adjust action plans to realise goals</li> <li>Focus people on critical</li> </ul>	
>		success, evaluating and valuing the work of the institution	activities that yield a high impact	

